

PATENT

ATTY DOCKET NO.CGNE.099.00USRe
#5COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 8

In re application of: Shewmaker, *et al.*] Art Unit: ~~Not Yet Assigned~~ /1638
 Serial No. ~~Not Yet Assigned~~ 09/574,846] Examiner: ~~Not Yet Assigned~~
 Filed: May 19, 2000] (Examiner David Fox in parent)

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	Filing Date
A1	DF	4,532,207	7/30/85	Brewer <i>et al.</i>	435/169.1	3/3/83
A2		4,546,082	10/8/85	Kurjan <i>et al.</i>	435/172.3	6/17/82
A3		4,551,433	11/5/85	DeBoer <i>et al.</i>	435/252.3	1/11/82
A4		4,559,302	12/17/85	Ingolia <i>et al.</i>	435/172.3	11/1/82
A5		4,801,540	1/31/89	Hiatt <i>et al.</i>	435/172.3	1/2/87
A6		4,943,674	7/24/90	Houck <i>et al.</i>	800/205	5/26/87
A7		5,034,322	7/23/91	Rogers <i>et al.</i>	435/172.3	4/5/89
A8		5,420,034	5/30/95	Kridl <i>et al.</i>	435/240.4	8/8/91
A9		5,504,200	4/96	Hall <i>et al.</i>	536/24.1	2/94

FOREIGN PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Filing Date
B1	DF	WO 84/02913	8/84	PCT	— —	—
B2		WO 84/02919	8/84	PCT	— —	—
B3	↓	WO 84/02920	8/84	PCT	— —	—

Examiner: Daniel J. Fox Date Considered: 9/21/01

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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Sheet 2 of 8

In re application of: Shewmaker, *et al.*

Art Unit: Not Yet Assigned

Serial No. Not Yet Assigned

0 91574846

Examiner: Not Yet Assigned
(Examiner David Fox in parent)

Filed: May 19, 2000

B4 ————— 84 200239.6
B5 ————— 2424183
B6 OTF 0116718
B7 ✓ 0120516

Date Country Class/Subclass Translation
Europe ——
Europe ——

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Examiner's
Desig. Initials

- C1 DF Adelman *et al.*, DNA (1983) 2: 183-193
C2 Akiyoshi *et al.*, Proc. Natl. Acad. Sci. USA (1984) 81: 5994-5998
C3 Barker *et al.*, Plant Mol. Biol. (1983) 2:335-350
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C8 Berlyn & Miksche, Botanical Microtechnique: Cytochemistry (1976), Iowa State Press
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C10 Bevan *et al.*, Nature (1983) 304:184-187
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Examiner:

Date Considered:

David Fox

9/21/01

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Sheet 3 of 8

In re application of: Shewmaker, *et al.*

09/574,946

Serial No. ~~Not Yet Assigned~~

Filed: May 19, 2000

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Examiner: ~~Not Yet Assigned~~
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C14 Chang & Cohen, J. Bacteriol. (1978) 134:1141-1156
C15 Chen *et al.*, Proc. Natl. Acad. Sci. USA (1986) 83:8560-8564
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C25 DeCleene, M., Phytopath. (1985) 113: 81-89
C26 Della Penna *et al.*, Proc. Natl. Acad. Sci USA (1986) 83: 6420-6424
C27 Dellaporta *et al.*, Plant Mol. Biol Rep. (1983) 1: 19-21
C28 Dente *et al.*, Nucl. Acids. Res. (1983) 11: 1645-1655
C29 Ditta *et al.*, Proc. Natl. Acad. Sci. USA (1980) 76: 1648-1652
C30 Eckes *et al.*, Mol. Gen. Genet. (1986) 205: 14-22

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C32 Fehr & Caviness, "Stages of Soybean Development", Iowa State Coop. Ext. Serv., Agric. and Home Econ. Expt. Stn Special Report 80 (1977)
C33 Fillatti *et al.*, Mol. Gen. Genet. (1987) 206:192-199
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C47 Herrera-Estrella *et al.*, EMBO J. (1983) 2:987-995
C48 Herrera-Estrella *et al.*, Nature (1983) 303:209-213
C49 Higgins *et al.*, J. Biol. (1986) 261:11124-11130

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09/1574,946

Art Unit: Not Yet Assigned *1638*

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Examiner: Not Yet Assigned
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Filed: May 19, 2000

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C51 Hoekema *et al.*, Nature (1983) 303: 179-181
C52 Hood *et al.*, J. Bacteriol. (1986) 168:1291-1301
C53 Hood *et al.*, Bio/Technology (1984) 2: 702-709
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C68 Melton *et al.*, Nuc. Acids Res. (1984) 12:7035-7056

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09/574,946

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C73 Miller *et al.*, In: Molecular Genetics (1972) CSH Lab., Cold Spring Harbor, NY
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C87 Sanger *et al.*, Proc. Natl. Acad. Sci. USA (1977) 74:5463-5467
C88 Scherer & Knauf, Plant Mold. Biol. (1987) 9:127-134

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In re application of: Shewmaker, *et al.*

091774,946

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- C107 *DFF* Wood *et al.*, Proc. Natl. Acad. Sci. USA (1985) 82:1585-1588
C108 Yanisch-Perron *et al.*, Gene (1985) 33:103-119
C109 *b* Zambryski *et al.*, EMBO J. (1983) 2:2143-2150
C110 Zoller & Smith, Nuc. Acids. Res. (1982) 10:6487-6500
C111 *✓* Schernthaner *et al.*, EMBO J. (1988) 7(5):1249-1255

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